

New Mexico Department of Transportation

Certificate of Compliance Cover Sheet

Section 106.4 Certificates of Compliance

Supporting documentation must be submitted with completed form

Form must be completed in full – blank spaces are not acceptable - use N/A if needed

1. Control Number: _____
2. Contractor: _____
3. Date: _____
4. Contract item number: _____
- Check if the material being certified is incidental to the contract item number
5. Description of item/material being certified:

6. Specification reference(s): _____
7. Quantity being certified: _____ Plan Quantity: _____
Change order number(s) _____ CO Quantity to date: _____
- Total Project Quantity to date: _____
8. Seal Number (if applicable):
9. Heat number, lot number, batch number or project identification Number:
(Contractor must identify the reference numbers used on the Project)

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10. Manufacturer(s): _____

11. Shipment number(s): _____

For Iron and Steel: *(Refer to Buy America Certification Compliance Guide and sheet 3 of 3 of this form)*

a. Step 1 shipping to Step 2: Original melting and forming to fabrication (shaping or cutting)
Shipment destination and number:

b. Step 2 shipping to Step 3: Fabrication (shaping or cutting) to coating
Shipment destination and number:

c. Step 3 shipping to Supplier/Contractor: Coating to Supplier/Contractor
Shipment destination and number:

d. Step 4 Supplier to Contractor (if applicable):
Shipment destination and number:

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12. CHECK HERE IF THIS PRODUCT CONTAINS IRON OR STEEL, then check one of the following:

Product is 100% U.S. Steel and I certify that I understand the requirements of Section 106.12 “Buy America Requirements” and 23 CFR 635.410 and the material identified above conforms to both. *(Skip a-d below.)*

Product may contain minimal foreign steel in accordance with Buy America provision 23 CFR 635.410.4 (MINIMUM USE). *(Complete a-d below.)*

a. MINIMUM USE allowed for this Contract: \$ _____
(0.1% of total adjusted contract amount* less GRTs or \$2,500, whichever is greater)

b. MINIMUM USE already used to date on this contract: \$ _____

c. MINIMUM USE being applied to the Material listed above: \$ _____
(Contractor must provide supporting documentation for the listed dollar value)

d. New MINIMUM USE amount used to date on this contract: \$ _____

Name (print): _____
Prime Contractor - Responsible Company Official

Title: _____

Signature: _____
Responsible Company Official (Authorized Signature)

Date: _____

Signature: _____
1st Tier Subcontractor - (If Applicable)

Date: _____

Signature: _____
2nd Tier Subcontractor - (If Applicable)

Date: _____

*MINIMUM USE: Please note that in regards to MINIMUM USE for “Buy America”, the total adjusted contract amount should reflect all change orders approved to date. However, in the case where there are negative dollar change orders, the total adjusted contract amount will never be less than the original contract amount (less GRTs).