

Form No. A-1147
Rev. 9/20

NEW MEXICO DEPARTMENT OF TRANSPORTATION
DISADVANTAGED BUSINESS ENTERPRISE
ANNUAL AFFIDAVIT FOR CERTIFICATION

YEAR: FFY21
(October 1, 2020 - September 30, 2021)

STATE _____

ss.

COUNTY _____

I _____, (Owner or Authorized Representative) do swear (or affirm) that there have been no changes in _____'s (Name of DBE), herein "DBE Firm," circumstances affecting its ability to meet size, disadvantaged status, ownership, or control requirements of 49 CFR Part 26 and 13 CFR Part 121. I swear there have been no material changes in the information provided with our original application for certification, other than any changes that the DBE Firm has already provided in writing to the New Mexico Department of Transportation pursuant to 49 CFR 26.83(i).

I swear (or affirm) that the DBE Firm's owner(s) are socially disadvantaged because he/she has been subject to racial or ethnic prejudice or cultural bias, or have suffered the effects of discrimination, because of the owner(s) identity as a member of one or more of the groups identified in 49 CFR § 26.5, without regard to individual qualities.

I further swear (or affirm) that the qualifying owner(s) personal net worth does not exceed \$1.32 million, and that the qualifying owner(s) is economically disadvantaged because of their ability to compete in the free enterprise system has been impaired due to the diminished capital and credit opportunities as compared to others in the same or similar line of business who are not socially or economically disadvantaged.

I specifically swear (or affirm):

_____ (Name of DBE Firm) continues to meet the Small Business Administration (SBA) business size criteria and the overall gross receipts cap of 49 CFR Part 26 and average annual gross receipts (as defined by SBA rules) over the previous three fiscal years do not exceed \$_____. I provide the attached size and gross receipts documentation to support this affidavit.

_____ (Name of DBE Firm) has actively pursued and bid on _____ (number of projects) in New Mexico within the last two years and the DBE Firm agrees to permit the audit and examination of any and all books, records or files of this business as requested by the New Mexico Department of Transportation.

I _____ (Name of Owner or Representative) made no material misrepresentations or omissions herein and understands that such material misrepresentations or omissions shall be grounds for denial of certification, decertification and/or termination of any contracts that may be awarded to the DBE Firm, possible debarment or suspension of the DBE Firm, and/or referral to the Office of the Attorney General.

DBE Firm Owner or Authorized Representative

Date

NOTARY:

Subscribed and sworn to before me this _____ day of _____, 20____.

Notary Public

My Commission Expires:

NOTE: OUT OF STATE DBE FIRMS MUST FURNISH A COPY OF THE MOST RECENT HOME STATE'S UNIFIED CERTIFICATION PROGRAM'S APPROVAL LETTER