

NEW MEXICO DEPARTMENT OF TRANSPORTATION

DISADVANTAGED BUSINESS ENTERPRISE ANNUAL AFFIDAVIT FOR CERTIFICATION

YEAR: FY 17

(October 1, 2016 – September 30, 2017)

STATE _____)

SS

COUNTY _____)

_____, _____,
(Name of Affiant) (Residence Address of Affiant)

being first duly sworn deposes and says:

1. That he/she is an authorized representative of _____,
(Name of DBE Firm)
hereinafter "DBE Firm" holding the position of _____.
(Position with DBE Firm)

2. With respect to such DBE Firm:

Owner or Owners' Name(s): _____

Address of DBE Firm: _____

_____.

3. The DBE Firm's average annual gross receipts, including all DBE Owner's affiliate business annual gross receipts, do not exceed the amounts specified in 13 CFR Part 121.402 and 49 CFR Part 26.65(b).

4. The DBE Firm's annual gross receipts (and affiliate's), for the most recent reporting fiscal year are

\$ _____.

5. Attached hereto is a complete copy of the DBE Firm's most recent Federal Business Tax Return, OR Schedule "C" from Federal Personal Tax Return. If an Extension request has been filed, submit a copy in lieu of tax documents; upon filing, ensure a complete copy of the tax document(s) is provided to this office as soon as possible.

6. Check one:

(a) **The DBE Firm's owner(s) are member(s) of a designated group identified in 49 CFR Part 26.67(a) and therefore are presumed to be socially and economically disadvantaged individuals; or**

(b) **The DBE Firm's owner(s) are not presumptively disadvantaged, but claim to be socially and economically disadvantaged as set forth in 49 CFR Part 26.67(d), and have submitted evidence of such social and economic disadvantage as required by 49 CFR, Appendix E.**

7. Ownership of at least 51% of the DBE Firm, per 49 CFR Part 26.69(b), is held by socially and economically disadvantaged individuals.

8. The DBE Firm is an independent business as defined by 49 CFR Part 26.71(b) et seq. The socially and economically disadvantaged owners possess the power to direct or cause the direction of the management and policies of the DBE Firm and make day-to-day as well as long term decisions on matters of management, policy and operations as set forth in 49 CFR Part 26.71(d) et seq.

9. The Personal Net Worth of each owner claiming DBE status, does not exceed \$1.32 million individually.

10. He/she agrees to permit the audit and examination of any and all books, records or files of this business deemed necessary by the New Mexico Department of Transportation.

11. He/she understands that any material misrepresentations shall be grounds for denial of certification, decertification and/or termination of any contracts that may be awarded to the DBE Firm, possible debarment or suspension of the DBE Firm, and/or referral to the Office of the Attorney General.

Affiant

NOTARY:

Subscribed and sworn to before me this _____ day of _____, 20__.

My Commission Expires: