

EDC-4 Innovation Profile Assessment – General Information

This is a clarification of guidance provided under EDC-3. The intent of the baseline assessment and subsequent progress reports is to track the state-of-practice, or implementation stage, for each innovation over the two-year period (2017-2018) planned for the [fourth round of Every Day Counts \(EDC\)](#). In other words, even if the state decides not to advance an innovation during the EDC cycle, we want the progress maps to reflect the current state-of-practice in the state.

State is defined as a general term intended to be all-inclusive referring to the experience of any entities included within the state boundaries (e.g., state transportation agency, local municipalities, contractors, consultants). For example, if an innovation is being used only at a local level, the appropriate implementation stage should be indicated in the baseline report even if the innovation is not being used at the State level.

Selecting “**Not Implementing**” means entities are not using the innovation anywhere in the state and the state will be colored gray on the state-of-practice maps in the EDC Progress Reports. However, if your state has used or is using an innovation, but decides not to actively advance it during the EDC cycle; you should select the existing implementation stage as the baseline and the two year goal. The progress map will be colored to show that the state has used the innovation but has no intention to advance it during the 2 year reporting cycle.

Please use the Innovation Specific Profile Templates to determine the implementation stage for the particular innovation in your state. The following are example scenarios for your general reference:

Innovation Implementation Stages

Not Implementing	The state is not pursuing the innovation.
Development Stage	The state is collecting guidance and best practices, building support with partners and stakeholders, and developing an implementation process.
Demonstration Stage	The state is testing and piloting the innovation.
Assessment Stage	The state is assessing the performance of and process for carrying out the innovation and making adjustments to prepare for full deployment.
Institutionalized	The state has adopted the innovation as a standard process or practice and uses it regularly on projects.

- “Not Implementing” as state-of-practice - State X has not used the innovation (e.g., GRS-IBS) anywhere in the state. It will consider the use of GRS-IBS when an appropriate project is encountered. No guidance was developed and the State is not actively gathering information on GRS-IBS. State X would select “Not Implementing” (gray color) for the Baseline and also for the two-year Goal. This will indicate State X has no intention of furthering implementation of GRS-IBS. Please note: If the state does subsequently use GRS-IBS on a project, then the expectation is that the state would select “Demonstration” (blue) on the next progress report to indicate the state-of-practice has in fact advanced.
- No advances in state-of-practice planned – State Y was using the principles of IQED on large projects for a number of years, but has no intention of developing additional guidance, are satisfied with their program, and do not intend to make any changes. State Y would select “Demonstration” (blue) for the Baseline and also for the two-year Goal. Please note: If State Y does end up creating additional guidance or advancing the state-of-practice during the two-year period, the appropriate state-of-practice should be indicated on the next progress report.
- Advancing an innovation state-of-practice – State Z constructed at least one project using a Road Diet and should select “Demonstration” (blue) as the current state-of-practice. State Z has a goal to include a Road Diet chapter in their design manual for consideration on projects, where appropriate. Therefore, State Z should select “Institutionalized” (navy) as the goal to reach in two years. As State Z advances the innovation, progress reports would indicate the progression from “Demonstration” to “Assessment” to “Institutionalized” during the two-year period.

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Baseline Questions:

1) Reporting Period:	Baseline Report (January 2017) ▼
2) Division Contact for additional information:	First Name: <input type="text" value="Luis"/> Last Name: <input type="text" value="Melgoza"/>
3) January 2017 Baseline: What stage of innovation implementation is the State currently at? Review the above Innovation Implementation Stage definitions and select the appropriate implementation stage for your state.	(Choice) - Not Implementing, Development , Demonstration, Assessment, Institutionalized
4) Current Status of Innovation in your State: Provide an explanation of the current state of practice to support the above selection. Please note both State DOT and local agency status.	(open discussion)
5) December 2018 Goal: What stage does the State have a goal of attaining within two years? Review the Innovation Profile Template and select from appropriate drop down at the right.	(Choice) - Not Implementing, Development, Demonstration , Assessment, Institutionalized
6) Implementation Plan Activities: Provide a brief explanation of the proposed activities the State intends to perform to attain the innovation implementation stage goal selected.	(open discussion)
7) Assistance Needed: What assistance can the Federal Highway Administration provide to help the State reach its goal within two years?	<input checked="" type="checkbox"/> Training (basic and/or advanced) <input type="checkbox"/> Technical support on specific projects <input type="checkbox"/> Guidance Documents (indicate below the specific need) <input type="checkbox"/> Example applications from other states <input type="checkbox"/> Webinar training on specific topics <input type="checkbox"/> Workshops to inform other disciplines and stakeholders on the benefits <input type="checkbox"/> Peer Exchange with leading agency <input type="checkbox"/> Facilitated Discussion (conference call, webinar, facilitated mtg) <input type="checkbox"/> Case Studies <input checked="" type="checkbox"/> Other (fill in choice) Potentially all of the above. EDC 5 will request
8) Coordination: The responses have been coordinated with the necessary transportation agencies and Division Office technical resource.	(Choice) x Yes , No

Progress Report Questions:

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Reporting Period:	Progress Report (every 6 months): Progress Report #1: Jan – Jun 2017 Progress Report #2: Jul – Dec 2017 Project Report #3: Jan – Jun 2018 Final Report: Jul – Dec 2018
1) If there has been NO CHANGE on this innovation during this reporting period and the previous Progress Report is still accurate, select "No Change from Progress Report" and you do not need to complete Questions 2-7.	(Choice) <input type="checkbox"/> No Change from Progress Report <input checked="" type="checkbox"/> Changes indicated in Progress Report Below
2) Division Contact for additional information:	Luis Melgoza
3) What is the State's current stage of innovation implementation? Review the Innovation Profile Template and select the appropriate implementation stage for this innovation.	(Choice) <input type="checkbox"/> Not Implementing <input checked="" type="checkbox"/> Development Stage <input type="checkbox"/> Demonstration Stage <input type="checkbox"/> Assessment Stage <input type="checkbox"/> Institutionalized
4) Describe the State's accomplishments for this reporting period (both State DOT and local agency accomplishments). Please provide EDC-4 highlights with good "so what" nuggets. -- What would another state be interested in and/or how could leadership use the information I am providing? -- If the State has advanced to the next implementation stage, consider the prompt questions in the chart and explain the advancements made to support your selection. -- Please include benefits as part of your explanation (i.e. time/cost savings, delay/crash reductions, etc.)	<i>Statewide Action Plan Developed</i> <i>Statewide Trainings for Project Development Engineers, Planners, Decisions Makers</i> <i>Incorporation of proven countermeasures into Design Manual (draft)</i>
5) Describe any additional assistance needed by your state.	<i>State pedestrian level per capital continues to climb, more intensive training, public awareness, implementation of pilot projects is indicated</i>
6) Describe any implementation obstacles or lessons learned. Also, indicate if and how your state can provide assistance to others in their implementation of this innovation.	<i>Elevating the design consideration of pedestrian safety features to a level commensurate with ADA would assist in adding these features to projects.</i>
7) The responses have been coordinated with the necessary transportation agencies and Division Office technical resource.	(Choice) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No